

12-20-01

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PATENTS, TRADEMARKS, COPYRIGHTS

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BARRY R. LIPSITZ
DOUGLAS M. McALLISTER

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

**REQUEST FOR FILING A CONTINUATION OR DIVISION
OF AN INTERNATIONAL APPLICATION**

Sir:

This is a request for filing a *continuation-in-part* of application under 37 C.F.R. 1.53(b), of pending prior international application number PCT/EP00/05590 filed on June 13, 2000 which designated the United States.

Inventor(s):

Carlos J.R.P. Augusto whose address is **Rua Sarmiento de Beires, N° 45, 9A, P-1900 Lisboa, Portugal**
and whose citizenship is: **Portugal**

Title: **WAVELENGTH-SELECTIVE PHOTONICS DEVICE**

APPLICATION ELEMENTS:

- (1) ☒ Patent Application Specification, including Abstract and claims - **41** pages
- (2) ☒ **Thirty (30)** sheet(s) of **formal drawings**, together with transmittal letter
- (3) ☐ A check in the amount of \$_____ to cover the ☐ filing fee(\$.00)
and/or ☐ Assignment Recordal Fee (\$_____) is enclosed.
- ☐ Before calculating the fee, cancel claim(s)
- ☐ Before calculating the fee, see copy of Preliminary Amendment filed.

Basic Fee - Small Entity								\$ 370.00
Multiple Dependent Claims (\$260.)								
Foreign Language Surcharge (\$130.)								
	For	No. Filed	-	No. Extra		Rate		
EXTRA	Total Claims	21	20	1	x	\$9	=	\$ 9.00
CLAIMS	Independent Claims	1	3	0	x	\$.	=	\$ 0.00
TOTAL FILING FEE								= \$ 379.00

☐ The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.

- (4) ☐ Declaration and Power of Attorney form -- 3 pages
- a. ☐ Newly executed
 - b. ☐ Copy from a prior application
 - c. ☐ Deletion of inventor(s) – signed statement attached deleting inventor(s) named in the prior application.

(5) ☒ **Applicant is a Small Entity**

ACCOMPANYING APPLICATION PARTS:

- (6) ☐ Assignment document
- a. ☐ Newly executed (with \$40.00 recordal fee) and separate transmittal Form PTO-1595
 - b. ☐ Copy from a prior application
 - c. ☐ Please include assignee information when this application is published
- Assignee:**
- (7) ☐ Preliminary Amendment
- (8) ☐ Certified Copy of Priority Document, together with separate transmittal letter
- (9) ☐ Information Disclosure Statement, together with PTO Form 1449 and copies of cited references
- (10) ☒ Return receipt postage prepaid postcard
- (11) ☒ Express Mail Certificate (Mailing Label No. **EV 046686894** US)
- (12) ☒ Patent Application Data Entry Form

(13) ☐ Other:

(14) ☐ Please amend the specification by inserting before the first line the sentence:

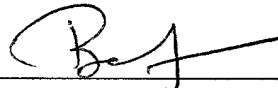
(15) ☒ This application claims the benefit of U.S. Provisional Patent Application No. 60/140,671 filed June 14, 1999.

(16) ☒ Correspondence address:

Barry R. Lipsitz
Law Offices of Barry R. Lipsitz
755 Main Street, Building 8
Monroe, CT 06468
Telephone: (203) 459-0200

(17) ☒ Pursuant to the provisions of 37 C.F.R. Sections 1.10 and 1.53, Applicant respectfully requests that this application be assigned a serial number and a filing date of December 14, 2001, the date upon which the application was mailed to the Patent and Trademark Office by Express Mail (No. EV 046686894 US).

Respectfully submitted,



Barry R. Lipsitz
Attorney for Applicant(s)
Registration No. 28,637
755 Main Street, Bldg. 8
Monroe, CT 06468
(203) 459-0200

ATTORNEY DOCKET NO.: VAN-109
Date: December 14, 2001

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P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
C. Augusto)
)
Filed: Herewith)
)
For: **WAVELENGTH-SELECTIVE PHOTONICS DEVICE**

BOX PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number: **EV 046686894 US**
Date of Deposit: **December 14, 2001**

I hereby certify that the attached:

- [x] Return receipt postage prepaid postcard;
- [x] Transmittal letter for new patent application (Request for Filing a Continuation or Division of an International Application - 3 pages);
- [x] Patent Application Data Entry Form;
- [x] Patent Application Specification including Abstract and Claims (41 pages);
- [x] Thirty (30) sheet(s) of formal drawings, together with transmittal letter.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 and 1.53 on the date indicated above and is addressed to: **BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231**

Carol Prentice

(Typed or printed name of person mailing paper or fee)

Carol Prentice
(Signature of person mailing paper or fee)

Respectfully submitted,

Date: December 14, 2001
ATTORNEY DOCKET NO.: VAN-109

Barry R. Lipsitz
Barry R. Lipsitz
Attorney for Applicant(s)
Registration No. 28,637
755 Main Street, Bldg. 8
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P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
C. Augusto)
Application No.:)
Filed: Herewith)
For: **WAVELENGTH-SELECTIVE PHOTONICS DEVICE**

DRAWING REVIEW BRANCH

Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (No. EV 046686894 US) addressed to BOX PATENT APPLICATION, Commissioner for Patents, Washington, D C 20231 on December 14, 2001

By Carol Prentice
Carol Prentice

TRANSMITTAL OF FORMAL DRAWINGS

Dear Sir:

Enclosed are thirty (30) sheets of formal drawings for filing in the above-referenced patent application.

Please advise the undersigned attorney if correction is necessary.

Respectfully submitted,

Barry R. Lipsitz

Barry R. Lipsitz
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Registration No. 28,637
755 Main Street, Building 8
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(203) 459-0200

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